FORM Project 49	U.S. DEPARTMENT OF C PATENT AND TRADEM
DE' SHEET B	DISCLOSURE STATEMENT
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. GLAUKO.011CP1

APPLICATION NO. 10/634,213

APPLICANT David Haffner et al.

FILING DATE August 5, 2003

GROUP Unknown

				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER		NAME	CLASS		SUBCLASS	FILING DATE (IF APPROPRIATE)
61	1	RE. 35,390	12/03/96	Smith			1	
	2	3,788,327	01/29/74	Donowitz et al.				
	3	4,037,604	07/26/77	Newkirk				
	4	4,168,697	09/25/79	Cantekin				
	5	4,175,563	11/27/79	Arenberg et al.				
	6	4,402,681	09/06/83	Haas et al.	$\perp \! \! \perp$			
	7	4,428,746	01/31/84	Mendez				
	8	4,501,274	02/26/85	Skjaerpe				
	9	4,521,210	06/04/85	Wong				
	10	4,554,918	11/26/85	White				
	11	4,604,087	08/05/86	Joseph				
	12	4,632,842	12/30/86	Karwoski et al.				
	13	4,634,418	01/06/87	Binder				
	14	4,718,907	01/12/88	Karwoski et al.				
	15	4,722,724	02/02/88	Schocket				
	16	4,733,665	03/29/88	Palmaz				
	17	4,750,901	06/14/88	Molteno				
	18	4,787,885	11/29/88	Binder				
	19	4,804,382	02/14/89	Turina et al.				
	20	4,820,626	04/11/89	Williams et al.				
	21	4,846,172	07/11/89	Berlin				
	22	4,853,224	08/01/89	Wong				
	23	4,863,457	09/05/89	Lee				
1	24	4,883,864	11/28/89	Scholz				
1	25	4,886,488	12/12/89	White				
1	26	4,900,300	02/13/90	Lee		T		
	27 .	4,936,825	06/26/90	Ungerleider		T		

EXAMINER	Dawson	DATE CONSIDERED	1.13.06

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 809; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFO NATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

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ATTY, DOCKET NO. GLAUKO.011CP1	APPLICATION NO. 10/634,213	
APPLICANT David Haffner et al.		
FILING DATE August 5, 2003	GROUP Unknown	

				U.S. PATENT DOCUMENTS	-			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	C	LASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
(1))	28	4,946,436	08/07/90	Smith		1	1	
7	29	4,968,296	11/06/90	Ritch et al.		\top		
T	30	4,997,652	03/05/91	Wong		1		
	31	5,041,081	08/20/91	Odrich		Π		
	32	5,073,163	12/17/91	Lippman				
	33	5,092,837	03/03/92	Ritch et al.				
	34	5,095,887	03/17/92	Leon et al.				
	35	5,127,901	07/07/92	Odrich				
	36	5,129,895	07/14/92	Vassiliadis et al.				
	37	5,164,188	11/17/92	Wong				
	38	5,171,213	12/15/92	Price, Jr.				
	39	5,178,604	01/12/93	Baerveldt et al.				
	40	5,180,362	01/19/93	Worst				
	41	5,246,451	09/21/93	Trescony et al.				
	42	5,290,295	03/01/94	Querals et al.				
	43	5,300,020	04/05/94	L'Esperance, Jr.				
	44	5,318,513	06/07/94	Leib et al.				
	45	5,334,137	08/02/94	Freeman				
	46	5,338,291	08/16/94	Speckman et al.				
	47	5,346,464	09/13/94	Camras				
	48	5,360,399	11/01/94	Stegmann				
	49	5,370,607	12/06/94	Memmen				
	50	5,370,641	12/06/94	O'Donnell, Jr.				
	51	5,372,577	12/13/94	Ungerleider				
	52	5,397,300	03/14/95	Baerveldt et al.				
	53	5,433,701	07/18/95	Rubinstein				
	54	5,443,505	08/22/95	Wong et al.				

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"EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. GLAUKO.011CP1

APPLICATION NO. 10/634,213

ATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT David Haffner et al.

(USE SEVERAL SHEETS IF NECESSARY) FILING DATE August 5, 2003

GROUP Unknown

				U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CL	ASS	SUBCL	ASS	FILING DATE (IF APPROPRIATE
WI	55	5,454,796	10/03/95	Krupin					
	56	5,476,445	12/19/95	Baerveldt et al.			\neg		
	57	5,472,440	12/05/95	Beckman				Ì	
	58	5,486,165	01/23/96	Stegmann					· · · · · · · · · · · · · · · · · · ·
	59	5,516,522	05/14/96	Peyman et al.					·
	60	5,520,631	05/28/96	Nordquist et al.					
	61	5,557,453	09/17/96	Schalz et al.					
	62	5,558,629	09/24/96	Baerveldt et al.					**************************************
	63	5,558,630	09/24/96	Fisher					
	64	5,562,641	10/08/96	Flomenblit et al.					**************************************
	65	5,601,094	02/11/97	Reiss					
	66	5,601,549	02/11/97	Miyagi					
	67	5,626,558	05/06/97	Suson					
	68	5,626,559	05/06/97	Solomon					
T	69	5,639,278	06/17/97	Dereume et al.					
T	70	5,651,783	07/29/97	Reynard					
	71	5,665,114	09/09/97	Weadock et al.					
	72	5,670,161	09/23/97	Healy et al.					
	73	5,676,679	10/14/97	Simon et al.					
	74	5,681,275	10/28/97	Ahmed				1	
	75	5,702,414	12/30/97	Richter et al.					
	76	5,702,419	12/30/97	Berry et al.					
	77	5,704,907	01/06/98	Nordquist et al.		T			
	78	5,713,844	02/03/98	Peyman		T	\top		
	79	5,723 005	03/03/98	Herrick		\top	1		
	80	5,741,333	04/21/98	Frid		T	1	1	
	81	5,743,868	04/28/98	Brown et al.		1	1	\neg	

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT **BY APPLICANT**

(USE SEVERAL SHEETS IF NECESSARY)

ATTY, DOCKET NO. GLAUKO.011CP1	APPLICATION NO. 10/634,213	
APPLICANT David Haffner et al.		
FILING DATE August 5, 2003	GROUP Unknown	

	-			U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CL	ASS	SUBCL	ASS	FILING DATE (IF APPROPRIATE)
W	82	5,752,928	05/19/98	de Roulhac et al.				\prod	
	83	5,766,242	06/16/98	Wong et al.		T		П	
	84	5,766,243	06/16/98	Christensen et al.				П	
	85	5,785,674	07/28/98	Mateen				\prod	
	86	5,807,202	09/15/98	Wandel				Π	
	87	5,810,870	09/22/98	Myers et al.	\sqcap			П	
	88	5,824,072	10/20/98	Wong	$\top \!\!\!\! \top$		-	\sqcap	
	89	5,830,139	11/03/98	Abreu	\prod	-		\Box	
	90	5,830,171	11/03/98	Wallace	Π				
	91	5,836,939	11/17/98	Negus et al.	\prod				
	92	5,865,831	02/02/99	Cozean et al.	\prod				
	93	5,868,697	02/09/99	Richter et al.	\sqcap				· · ·
	94	5,879,319	03/09/99	Pynson et al.	П				
	95	5,882,327	03/16/99	Jacob	11				
	96	5,886,822	03/23/99	Spitzer	\sqcap				
	97	5,893,837	04/13/99	Eagles et al.					-
	98	5.908,449	06/01/99	Bruchman et al.	11			Ì	
	99	5,932,299	08/03/99	Katoot	\sqcap				
	100	5,968,058	10/19/99	Richter et al.					
	101	5,981,598	11/09/99	Tatton					
	102	6,004,302	12/21/99	Brierley	T				
	103	6,007,510	12/28/99	Nigam		П		\neg	
	104	6,007,511	12/28/99	Prywes	†				
	105	6,033,434	03/07/00	Borghi	Τ				
	106	6,045,557	04/04/00	White et al.	Γ	П			
	107	6,050,970	04/18/00	Baerveldt	T	\prod		\dashv	
1	108	6,059,772	05/09/00	Hsia et al.	T	T	t		

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EXAMINER	Panvs L	DATE CONSIDERED	1-13-16
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EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO. GLAUKO.011CP1 APPLICATION NO. 10/634,213

TION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT
David Haffner et al.

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE GROUP
August 5, 2003 Unknown

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLY	\ss	SUBCLASS	FILING DATE (IF APPROPRIATE)
(pV)	109	6,059,812	05/09/00	Clerc et al.		1		
7	110	6,071,286	06/06/00	Mawad		Τ		
	111	6,174,305	01/16/01	Mikus et al.		Γ		
	112	6,228,873	05/08/01	Brandt et al.				
	113	6,231,597	05/15/01	Deem et al.				
	114	6,241,721	06/05/01	Cozean et al.				
	115	6,266,182	07/24/01	Morita				
	116	6,268,398	07/31/01	Ghosh et al.				
	117	6,342,058	01/29/02	Portney				
	118	6,375,642	04/23/02	Grieshaber et al.				
	119	6,450,984	09/17/02	Lynch et al.				
	120	6,464,724	10/15/02	Lynch et al.				
	121	6,533,768	03/18/03	нш				
	122	6,544,249	04/08/03	Yu et al.				
	123	6,063,116	05/16/00	Keileher		T		
	124	6,063,396	05/16/00	Kelleher				
	125	6,077,299	06/20/00	Adelberg et al.		Π		
	126	6,102,045	08/15/00	Nordquist et al.				
	127	6,142,990	11/07/00	Burk				
	128	6,165,210	12/26/00	Lau et al.		Γ		
\neg	129	6,168,575	01/02/01	Soltanpour				
	130	6,193,656	02/27/01	Jeffries et al.				
	131	6,197,056	03/06/01	Schachar				
	132	6,203,513	03/20/01	Yaron et al.				
	133	6,217,895	04/17/01	Guo et al.				
	134	6,251,090	06/26/01	Avery et al.				
T	135	6,287,313	09/11/01	Sasso				

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*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN: CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. GLAUKO.011CP1

APPLICATION NO. 10/634,213

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Buscemi et al.

Lynch et al.

APPLICANT David Haffner et al.

August 5, 2003

FILING DATE

GROUP Unknown

U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE (IF APPROPRIATE) INITIAL 136 6,299,895 10/09/01 | Hammang et al. 137 6,331,313 12/18/01 Wong et al. 138 6,413,540 07/02/02 Yaacobi 139 6,416,777 07/09/02 Yaacobi 6,436,427 140 08/20/02 | Hammang et al. 141 6,533,768 03/18/03 Hill Yu et al. 142 6,544,249 04/08/03 143 6,548,078 04/15/03 Guo et al. 144 2002/0013546 01/31/02 Grieshaber et al. 145 2002/0026200 02/28/02 Savage 146 2002/0072673 06/13/02 Yamamoto et al.

				FOREIGN PATENT DOCUMENTS						
EXAMINER		DOCUMENT NUMBER		COUNTRY	CL	ASS	SUBCLASS		TRANSLATION	
INITIAL			1						YES	NO
Q1	149	WO 89/00869	02/09/89	PCT				T		
	150	WO 91/18568	12/12/91	PCT				\prod		
	151	WO 92/19294	11/12/92	PCT						
	152	WO 94/13234	06/23/94	PCT					-	
	153	WO 94/21205	09/29/94	PCT						
	154	WO 95/08310	03/30/95	PCT						
	155	WO 98/30181	07/16/98	PCT			\exists			
	156	WO 98/35639	08/20/98	PCT			1			
	157	WO 99/26567	06/03/99	PCT						
	158	WO 99/30641	06/24/99	PCT	- 1	-	1			

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EXAMINER	Drews	DATE CONSIDERED	1-13-01

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT

CO-1449 INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. GLAUKO.011CP1

APPLICATION NO. 10/834,213

USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
David Haffner et al.

FILING DATE August 5, 2003

GROUP Unknown

				FOREIGN PATENT DOCUMENTS					
EXAMINER DOCUMENT NUMBER DATE		COUNTRY	CLASS		SUBCLASS	TRANSLATION			
INITIAL							1	YES	NO
lon	159	WO 99/38470	08/05/99	PCT		T			
	160	WO 00/13627	03/16/00	PCT					
	161	WO 00/64389	11/02/00	PCT					
	162	WO 00/64390	11/02/00	PCT				-	
	163	WO 00/64391	11/02/00	PCT					
	164	WO 00/64393	11/02/00	PCT					
	165	WO 00/72788	12/07/00	PCT					
	166	WO 01/50943	07/19/01	PCT					
	167	WO 01/78631	10/25/01	PCT					
	168	WO 01/78656	10/25/01	PCT					
	169	WO 03/015659	02/27/03	PCT					
	170	AU 200072059	12/06/00	Australia					
	171	CA 2,244,646	08/11/98	Canada					
	172	DE 198 40 047	09/02/98	German					
	173	EP 0 858 788	03/31/98	Europe					
	174	EP 1 114 627	11/30/00	Europe					
7	175	EP 0 898 947	07/14/98	Europe					
	176	GB 2 296 663	10/07/96	UK					
	177	2 710 269	09/22/93	France					
	178	11-123205	05/11/99	Japan		T	,		

EXAMINER INITIAL	- I OTHER DOCUMENTS (INCLUDING ACTION, TITLE, DATE, LEROIDENT FACES, ETC.)						
Un	179	Jacobi et al Goniocurettage for Removing Trabecular Meshwork: Clinical Results of a New Surgical Technique in Advanced Chronic Open-Angle Glaucoma, American Journal of Ophtoalmology, May 1999, Vol. 127, No. 5, Pages 505-510.					
	180	Jacobi et al., Bimanual Trabecular Aspiration in Pseudoexfoliation Glaucoma: An Alternative in Nonfiltering Glaucoma Surgery, American Journal of Ophthalmology, May 1998, Vol. 105, No. 5, Pages 886-894.					
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*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT

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			SHEET 8 OF
6	FORM TO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT	ATTY, DOCKET NO. GLAUKO.011CP1	APPLICATION NO. 10/634,213
	BY APPLICANT	APPLICANT David Haffner et al.	
	USE SEVERAL SHEETS IF NECESSARY)	FILING DATE August 5, 2003	GROUP Unknown

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lon	182	Schwartz et al., Trabecular Surgery, Arch. Ophthalmol, August 1974, Vol. 92, Pages 134-138.
7	183	Hill et al., Free-Electron Laser (FEL) Ablation of Ocular Tissues, Lasers Med Sci, 1998, Vol. 13, Pages 219-226.
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	185	W. M. Grant, Further Studies on Facility of Flow Through the Trabecular Meshwork, AMA Archives of Ophthalmology, October 1958, Vol. 60, Pages 523-533.
- 1	186	Hill et al., Laser Trabecular Ablation (LTA), Lasers in Surgery and Medicine, 1991, Vol. 11, Pages 341-346.
	187	Spiegel et al., Schlemm's Canal Implant: A New Method to Lower Intraocular Pressure in Patients With POAG?, Ophthalmic Surgery and Lasers, June 1999, Vol. 30, No. 6, Pages 492-494.
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	189	Detlev Spiegel, Benefits and Risks of Ophthalmological Therapy: 7 Surgical Glaucoma Therapy, Main Presentataions at the XXXIII Continuing Education for Ophthalmologists in Essen (Germany), published December 1998.
	190	Hoerauf et al., Slit-lamp-adapted optical coherence tomography of the anterior segment, Graefe's Arch Clin Exp Ophthalmol (2000), Vol. 237, Pages 8-18.
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	192	Grierson et al., Age-related Changes in the Canal of Schlemm, Eye Res. (1984) Vol. 39, Pages 505-512.
	193	Putney et al., Intracellular C1 regulates Na-K-C1 cotransport activity in human trabecular meshwork cells, American Journal of Physiology, September 1999, Vol. 277, Pages C373-383.
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	196	R.W. Nickells, Apoptosis of Retinal Ganglion Cells in Glaucoma: An Update of the Molecular Pathways Involved in Cell Death, Survey of Ophthalmology June 1999, Vol. 43, Supplement 1, Pages S151-161.
	197	J.W. Rohen, Anatomy of the aqueous outflow channels, Glaucoma: Volume 1, Grune & Stratton: 1986. Pages 277-296.
	198	Matsumoto et al., Trabecular Meshwork Phagocytosis in Glaucomatous Eyes, Ophthalmologica 1997, Vol. 211, Pages 147-152.
	199	M. B. Shields, A Study Guide for Glaucoma, Williams & Wilkins: 1982, Pages 6-43.
	200	Johnstone et al., Cylindrical Tubular Structures Spanning from Trabecular Meshwork Across SC: Laboratory Studie With SEM, TEM & Tracers Correlated with Clinical Findings, Abstract Paper No. 18. Page 39 from American Glaucoma Society 12 th Annual Meeting.
	201	W.G. Tatton, Apoptotic mechanisms in neurodegeneration: Possible relevance to glaucoma, European Journal of Ophthalmology 1999, Vol. 9, Supplement 1, Pages S22-29.
	202	U.S. Patent Application Serial No. 09/452,963, filed December 2, 1999. Title: Expandable/Retractable Stent For Venous and Valvular Annulus Use.

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. GLAUKO.011CP1		APPLICATION NO. 10/634,213					
	,	(C) (S)	MATION DISCLOSURE STATE BY APPLICANT			APPLICANT David Haffner, et al.					
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EXAMINER INITIAL			DOCUMENT NUMBER	DATE		NAME	C	LASS	SUBCLASS	FILING DAT (IF APPROPRIA	
len		1	4 521 210	06/04/85	Wong			1			
		2	4 936 825	06/26/00	Ung	erleider		1			
7		3	5 178 604	01/12/93	Baerveldt, et al.				[
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